



*Ifw*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of:

**T.P. MCKEE, et al.**

SerialNo.: 10/692,324

Filed: October 23, 2003

For: EXTENSIBLE USER CONTEXT  
SYSTEM FOR DELIVERY OF  
NOTIFICATIONS

Atty. Docket No.: 003797.01266

Group Art Unit: TBA

Examiner: TBA

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. § 1.56(a) Applicants submit herewith the references cited on the attached PTO form 1449. Copies of the non-U.S. cited references together with an Australian Search Report, two (2) European Search Reports and three (3) International Search Reports are attached herewith. Applicants respectfully request that the Examiner consider these references in connection with the search of the prior art required by 37 C.F.R. § 1.104.

Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

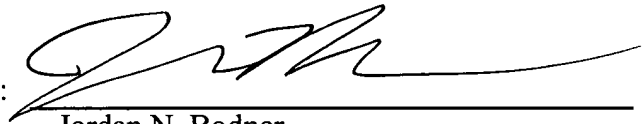
T.P. MCKEE, et al.  
Attorney Docket No.: 003797.01266

It is believed that no fee is required to ensure consideration of the cited references by the Examiner. However, if a fee is deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

BANNER & WITCOFF, LTD.

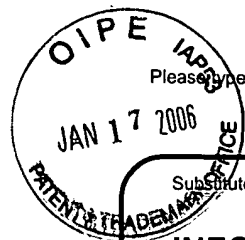
By:



Jordan N. Bodner  
Registration No. 42,338

Eleventh Floor  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000

Dated: January 17, 2006



Please type a plus sign (+) inside this box → ☐

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

### Complete if Known

Application Number	10/692,324
Filing Date	October 23, 2003
First Named Inventor	T.P. MCKEE
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	003797.01266

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US - 6,025,843		SKLAR	02-2000	
		US - 6,243,094 B1		SKLAR	06-2001	
		US - 6,549,916		SEDLAR	04-2003	
		US - 6,573,907		MADRANE	06-2003	
		US - 6,684,222		CORNELIUS, et al.	01-2004	
		US - 6,801,919		HUNT, et al.	10-2004	
		2001/0053996		ATKINSON	12-2001	
		2002/0169678		CHAO, et al.	11-2002	
		2003/0069908		ANTHONY, et al.	04-2003	
		2003/0093321		BODMER, et al.	05-2003	
		2003/0105745		DAVIDSON, et al.	06-2003	
		2003/0135517		KAUFFMAN	07-2003	
		2004/088374		WEBB, et al.	05-2004	

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Microsoft Windows XP Version 2002 (Screen Dumps, Figs. 1-16).	
		Simpson, Alan, Windows 95 Uncut, 1995, IDG Books Worldwide, Inc., pp. 104-107.	
		Feng, et al., "Schemata Transformation of Object-Oriented Conceptual Models to XML", Computer systems Science & Engineering, Vol. 18, No. 1, Jan. 2003.	
		Joseph, M., "The UML for Data Modellers," Elektron, April 2004, pp. 72-73.	
		Wang, G., et al., "Extending XML Schema with Nonmonotonic Inheritance," in M.A. Jesufeld and O. Paster (eds.), ER 2003 Workshops, Lecture Notes in Computer Science 2814:402-407, 2003.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language. Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2